

# DISCLOSURE DIVISION

TB  
C

- ☐ WAIVER REQUEST  
☒ ANSWER  
☐ RECONSIDERATION REQUEST  
☐ UNTIMELY

DATE: 3/19/2021

DOCKET #:

Ashley Wimberley, Director  
Disclosure Division

AW

## FILER INFORMATION

Name: Mr. Henry I. DiFranco, Jr.  
Address: 113 Sherry Lane, Mandeville, LA 70471  
Office/Position: St. Tammany Levee, Drainage and Conservation District  
Dates of Service: 2015-2019  
Number of Disclosures and/or Amendments Filed with Agency: 5

## REPORT INFORMATION

Name of Report: Tier 2.1 Annual Personal Financial Disclosure covering calendar year 2019 - Amendment  
Report ID: PFD20009126  
Original Due Date: 6/8/2020  
Initial PFD Filed on: 7/28/2020  
NOD-amend Received: N/A  
Amendment/Answer Due Date based on NOD: 1/22/2021  
Amendment/Answer Filed: 1/19/2021

## LATE FEE INFORMATION

Amount of Late Fee: \$  
Days late from receipt of NOD:  
Total days late from initial due date:  
Late Fee Order Received:  
Payment/Waiver Request Due Date:  
Waiver Request Received:

## COMMENTS:

Mr. DiFranco submitted an Answer stating he is not required to disclose income from the St. Tammany Levee Drainage and Conservation District, as he does not receive income from the district/board.

## OTHER LATE FEE INFORMATION

### Disclosure Statements:

- Other Outstanding Statements: No
- Other Outstanding Late Fees: No
- Prior Late Fees: No
- Reassessed Late Fees: No

### Campaign Finance:

- Outstanding Late Fees: No
- Prior Late Fees: No

**HENRY I. DIFRANCO, JR.**

113 SHERRY LANE  
MANDEVILLE, LA 70471  
HENRYDIFRANCO@CHARTER.NET  
(504) 915-5812

JANUARY 13, 2021

LA BOARD OF ETHICS  
PO BOX 4368  
BATON ROUGE, LA 70821  
C/O TAMMY FRAZIER, COMPLIANCE INVESTIGATOR

RE: ATTACHED LA BOARD OF ETHICS LETTER  
NOTICE - PFD20009126

MS. FRAZIER,

REGARDING THE ATTACHED LETTER AND 2019 PERSONAL FINANCIAL  
DISCLOSURE STATEMENT IN QUESTION; PLEASE BE ADVISED THAT I DID NOT  
RECEIVE ANY INCOME FROM **THE ST. TAMMANY LEVEE, DRAINAGE AND  
CONSERVATION DISTRICT**. THEREFORE, THERE IS NO REASON TO INCLUDE  
THIS ENTITY ON SCHEDULE E OF THE TIER 2.1 FORM.

I CAN BE REACHED AT THE ABOVE CONTACT INFORMATION IF THERE ARE ANY  
ADDITIONAL QUESTIONS.

SINCERELY,

  
HENRY I. DIFRANCO, JR.

ETHICS BOARD REC'D  
JAN 19 '21 PM1:50

**COPY**

**LOUISIANA BOARD OF ETHICS**

Post Office Box 4368  
Baton Rouge, Louisiana 70821

**TIER 2.1 PERSONAL FINANCIAL DISCLOSURE STATEMENT (ANNUAL)**

**This Report Covers Calendar Year:** 2019

☒ ORIGINAL REPORT

☐ AMENDED REPORT

☐ I currently hold an office that would require me to file a Tier 3 Personal Financial Disclosure Statement.  
As such, I have completed SCHEDULE D.

**Name of Filer (print full name) :** Henry I. DiFranco, Jr.

**Mailing Address:** 113 Sherry Lane

**City, State, Zip:** Mandeville, LA 70471

**Name of Board/Commission (no abbreviations):** St. Tammany Levee, Drainage and Conservation District

**Date of Appointment:** October 24, 2014

**Date Appointment Expires:** January 1, 2024

**Name of Spouse (print full name):** Stacey M. DiFranco

**Spouse's Occupation:** Elementary School Teacher

**Principal Business Address:** Cedarwood School, 607 Heavens Drive (address of Spouse employment)

**City, State, Zip:** Mandeville, LA 70471

**CHECK ONE:**

- ☒ Neither I, nor any member of my immediate family, have a personal or financial interest in any entity, contract, or business, or a personal or financial relationship, that in any way poses a conflict of interest, which would affect the impartial performance of my duties as a member of the board or commission.  
☐ I have attached a statement describing any conflicts, and actions I am taking to resolve or avoid the conflicts.

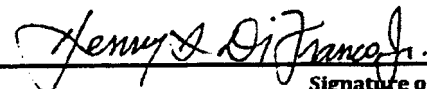
**Check all that apply:**

- ☐ I have filed my state income tax return for the previous year.  
☒ I have filed for an extension of my state income tax return for the previous year.  
☐ I have filed my federal income tax return for the previous year.  
☒ I have filed for an extension of my federal income tax return for the previous year.

NOTE: La. R.S. 42:1124.2.1 does not provide you the opportunity to request an extension in filing your personal financial disclosure statement.

**Certification of Accuracy**

**I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge and belief.**



Signature of Filer

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule A: Employment Information**☐ Check if not applicable☒ Filer ☐ Spouse ☒ Full-Time ☐ Part-TimeName of Employer: Principal Engineering, Inc.Job Title: Professional Engineer/PresidentJob Description: Consulting Architectural & Engineering Services☐ Filer ☒ Spouse ☒ Full-Time ☐ Part-TimeName of Employer: Cedarwood SchoolJob Title: Teacher's AidJob Description: Pre-School Teachers Aid☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time

Name of Employer: \_\_\_\_\_

Job Title: \_\_\_\_\_

Job Description: \_\_\_\_\_

☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time

Name of Employer: \_\_\_\_\_

Job Title: \_\_\_\_\_

Job Description: \_\_\_\_\_

- You are required to disclose employment information related to both you and your spouse (if applicable).
- List the name of the employer; the title of the position; a brief description of the job; and disclosure as to whether the position is full-time or part-time.
- Self-employment information is reported on Schedule B.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule B: Positions - Business**☐ Check if not applicable☒ Filer ☐ Spouse ☐ BothAmount of Interest (where interest exceeds 10%): 80 %Name of Business: Principal Engineering, Inc.Address: 1011 N. Causeway Blvd., Suite 19City, State, Zip: Mandeville, LA 70471Business Description: Consulting Architectural & Engineering ServicesNature of Association: Professional Engineer/President☐ Filer ☐ Spouse ☒ BothAmount of Interest (where interest exceeds 10%): 100 %Name of Business: DiFranco Management, LLCAddress: 1011 N. Causeway Blvd., Suite 17City, State, Zip: Mandeville, LA 70471Business Description: Real Estate Holdings, Property & Consulting ManagementNature of Association: Managing Members☒ Filer ☐ Spouse ☐ BothAmount of Interest (where interest exceeds 10%): 100 %Name of Business: DiFranco Properties, LLCAddress: 1011 N. Causeway Blvd., Suite 17City, State, Zip: Mandeville, LA 70471Business Description: Real Estate Holdings & Property ManagementNature of Association: Managing Member

\* You are required to complete SCHEDULE B if you or your spouse is a director, officer, stockholder, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

\* "Business" means any corporation, partnership, limited liability company, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule B: Positions - Business**☐ Check if not applicable☒ Filer ☐ Spouse ☐ BothAmount of Interest (where interest exceeds 10%): 100 %Name of Business: Jefferson Development Group, LLCAddress: 1011 N. Causeway Blvd., Suite 17City, State, Zip: Mandeville, LA 70471Business Description: Real Estate Holdings & Property ManagementNature of Association: Managing Member☒ Filer ☐ Spouse ☐ BothAmount of Interest (where interest exceeds 10%): 51 %Name of Business: Water Protection Consultants, LLCAddress: 799 Gardere LaneCity, State, Zip: Baton Rouge, LA 70820Business Description: Backflow Prevention & Cross Connection Control ConsultantsNature of Association: Managing Member☒ Filer ☐ Spouse ☐ BothAmount of Interest (where interest exceeds 10%): 50 %Name of Business: HSTT Properties, LLCAddress: 1011 N. Causeway Blvd., Suite 17City, State, Zip: Mandeville, LA 70471Business Description: Real Estate and Vacation RentalNature of Association: Managing Member

\* You are required to complete SCHEDULE B if you or your spouse is a director, officer, stockholder, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

\* "Business" means any corporation, partnership, limited liability company, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule B: Positions - Business**☐ Check if not applicable☒ Filer ☐ Spouse ☐ BothAmount of Interest (where interest exceeds 10%): 51 %Name of Business: DiFranco-Hardy Group, LLCAddress: 1011 N. Causeway Blvd., Suite 17City, State, Zip: Mandeville, LA 70471Business Description: Architecture & Engineering Joint VentureNature of Association: Managing Member☐ Filer ☐ Spouse ☐ Both

Amount of Interest (where interest exceeds 10%): \_\_\_\_\_ %

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Business Description: \_\_\_\_\_

Nature of Association: \_\_\_\_\_

☐ Filer ☐ Spouse ☐ Both

Amount of Interest (where interest exceeds 10%): \_\_\_\_\_ %

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Business Description: \_\_\_\_\_

Nature of Association: \_\_\_\_\_

\* You are required to complete SCHEDULE B if you or your spouse is a director, officer, stockholder, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

\* "Business" means any corporation, partnership, limited liability company, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule C: Positions - Nonprofit**☐ Check if not applicable☒ Filer ☐ SpouseName of Organization: Northshore I Commercial Condominium Association, Inc.Address: 1011 N. Causeway Blvd., Suite 16City, State, Zip: Mandeville, LA 70471Nature of Association: Member of the Board of Directors and Elected PresidentDescription of Organization: Office Condominium Management☐ Filer ☐ Spouse

Name of Organization: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Nature of Association: \_\_\_\_\_

Description of Organization: \_\_\_\_\_

☐ Filer ☐ Spouse

Name of Organization: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Nature of Association: \_\_\_\_\_

Description of Organization: \_\_\_\_\_

**\*You are required to complete SCHEDULE C if you or your spouse is a director or officer of a nonprofit organization.**

Revised November 2014

Form 417

Uploaded on 7/28/2020 10:38 AM



**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule D: Other Offices/Positions Held**☒ Check if not applicable

Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____

**\*You are required to complete SCHEDULE D if you hold any other office or position which would require you to file a personal financial disclosure statement under La. R.S. 42:1124.2.1 or 42:1124.3.**

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income from the State, Political**☐ Check if not applicable **Subdivisions, and/or Gaming Interests**☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: City of Covington, LAAddress: 317 N. Jefferson Ave.City, State, Zip: Covington, LA 70433Amount of Income (exact dollar amount): \$ 14,002.29☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: St. Tammany Parish GovernmentAddress: 21490 Koop DriveCity, State, Zip: Mandeville, LA 70471Amount of Income (exact dollar amount): \$ 74,807.77☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: City of Mandeville, LAAddress: 3101 E. Causeway ApproachCity, State, Zip: Mandeville, LA 70448Amount of Income (exact dollar amount): \$ 235,139.05

\* You are required to complete SCHEDULE E if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* The definitions for (and examples of) political subdivision, gaming interest, and business are found in the Instructions Section of this form.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income from the State, Political**☐ Check if not applicable **Subdivisions, and/or Gaming Interests**☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: City of New Orleans, LAAddress: 1300 Perdido Street, Room 6W03City, State, Zip: New Orleans, LA 70112Amount of Income (exact dollar amount): \$ 23,086.76☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: City of Slidell, LAAddress: 2055 Second StreetCity, State, Zip: Slidell, LA 70460Amount of Income (exact dollar amount): \$ 83.22☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: Jefferson Parish Government, LAAddress: 1221 Elmwood Park Blvd.City, State, Zip: Jefferson, LA 70123Amount of Income (exact dollar amount): \$ 34,957.60

\* You are required to complete SCHEDULE E if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* The definitions for (and examples of) *political subdivision, gaming interest, and business* are found in the *Instructions Section* of this form.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income from the State, Political**☐ Check if not applicable **Subdivisions, and/or Gaming Interests**☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: St. John the Baptist Parish Government, LAAddress: 1801 W. Airline Hwy.City, State, Zip: LaPlace, LA 70068Amount of Income (exact dollar amount): \$ 2,254.96☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: City of KennerAddress: 1801 Williams BlvdCity, State, Zip: Kenner, LA 70062Amount of Income (exact dollar amount): \$ 33,405.09☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: City of HammondAddress: 310 E. Charles St.City, State, Zip: Hammond, LA 70401Amount of Income (exact dollar amount): \$ 4,419.87

\* You are required to complete SCHEDULE E if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* The definitions for (and examples of) political subdivision, gaming interest, and business are found in the Instructions Section of this form.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income from the State, Political**☐ Check if not applicable **Subdivisions, and/or Gaming Interests**☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: St. Bernard Parish GovernmentAddress: 8201 W. Judge Perez DriveCity, State, Zip: Chalmette, LA 70043Amount of Income (exact dollar amount): \$ 6,609.34☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: St. Charles Parish GovernmentAddress: 15045 River RoadCity, State, Zip: Hahnville, LA 70057Amount of Income (exact dollar amount): \$ 19,674.32☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming InterestName of Business (if applicable): Principal Engineering, Inc.Name of Income Source: Town of Abita SpringsAddress: 22161 Level StreetCity, State, Zip: Abita Springs, LA 70420Amount of Income (exact dollar amount): \$ 41,000.30

\* You are required to complete SCHEDULE E if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* The definitions for (and examples of) *political subdivision*, *gaming interest*, and *business* are found in the *Instructions Section* of this form.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule F: Contributions**☒ Check if not applicable (made within one year of appointment - in excess of \$1,000)

Date of Appointment: _____ Compensation: \$ _____ Candidate Name: _____ Amount of Contribution or Loan: \$ _____
Date of Appointment: _____ Compensation: \$ _____ Candidate Name: _____ Amount of Contribution or Loan: \$ _____
Date of Appointment: _____ Compensation: \$ _____ Candidate Name: _____ Amount of Contribution or Loan: \$ _____
Date of Appointment: _____ Compensation: \$ _____ Candidate Name: _____ Amount of Contribution or Loan: \$ _____
Date of Appointment: _____ Compensation: \$ _____ Candidate Name: _____ Amount of Contribution or Loan: \$ _____

\* You are required to complete SCHEDULE F if you are appointed to a state board or commission and subject to annual financial statements as required by 42:1124.2.1 and you made a contribution or loan in excess of \$1,000 to the campaign of the official who appointed you.

\* You are only required to disclose contributions or loans made within one year of appointment.

\* "Candidate" means a person who seeks nomination or election to public office, except the office of president or vice president of the United States, presidential elector, delegate to a political party convention, United States senator, United States congressman, or political party office.

\* "Contribution" means a gift, conveyance, payment, or deposit of money or anything of value, or the forgiveness of a loan or of a debt, made for the purpose of supporting, opposing, or otherwise influencing the nomination or election of a person to public office, whether made before or after the election.

\* "Loan" means a transfer of money, property, or anything of value in exchange for obligation to repay in whole or in part, made for the purpose of supporting, opposing, or otherwise influencing the nomination for election, or election, of any person to public office.